



Section 3
PATENT

Case Docket No. 3WARE.011A
Date: November 8, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jewett, et al.
App. No. : 09/927,894
Filed : August 10, 2001
For : ARCHITECTURE FOR
PROVIDING BLOCK-
LEVEL STORAGE ACCESS
OVER A COMPUTER
NETWORK

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in
an envelope addressed to: Assistant Commissioner
for Patents, Washington, D.C. 20231, on

11-8-01
(Date)
Ronald J. Schoenbaum
Ronald J. Schoenbaum, Reg. No. 38,297

Group Art Unit : 2183

TRANSMITTAL LETTER

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),
which was mailed by the Office on September 17, 2001, enclosed are the following documents:

- (X) An executed Declaration by Inventor(s);
- (X) A Power of Attorney Form and Copy of Assignment;
- (X) A Notice to File Missing Parts;
- (X) A check in the amount of \$828.00 to cover the filing fees; and
- (X) A return prepaid postcard.

PATENT

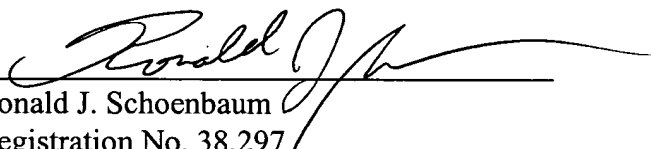
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Fees as calculated below:

| | |
|--|------------|
| UNPAID FILING FEES AT THE TIME OF FILING | \$ 763.00 |
| SURCHARGE 37 CFR 1.16(e) | \$ + 65.00 |
| TOTAL FEES SUBMITTED HEREWITH | \$ 828.00 |

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Ronald J. Schoenbaum
Registration No. 38,297
Attorney of Record

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/927,894 | 08/10/2001 | Douglas E. Jewett | 3WARE.011A |

CONFIRMATION NO. 4791

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

FORMALITIES LETTER



OC000000006562203

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$408.
 - \$288 for 32 total claims over 20.
 - \$120 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 828.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/10/2002 SSESHE1 00000042 111410 09927894
01 FC:201 370.00 OP
02 FC:203 288.00 OP
03 FC:202 126.00 OP
04 FC:205 44.00 OP
21.00 CH